

**UNITED STATES HOUSE OF REPRESENTATIVES
2017 FINANCIAL DISCLOSURE STATEMENT**

Form A
For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER
18 JUL 26 AM 11:14
(Office Use Only)

ME

Name: Fred Capton Daytime Telephone: 202-225-3761

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>MI</u> District: <u>6</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
REPORT TYPE	<input checked="" type="checkbox"/> 2017 Annual (Due: May 15, 2018)	<input type="checkbox"/> Amendment	Date of Termination: _____		

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

But the trusts of which I have no custody I did so and I have included those of which I

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Upston

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BLOCK A		BLOCK B													BLOCK C							BLOCK D												BLOCK E	
Assets and/or Income Sources		Value of Asset													Type of Income							Amount of Income												Transaction	
Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use only ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts. For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left. For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.		Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting period and is included only because it generated income, the value should be "None." *Column M is for assets held by your spouse or dependent child in which you have no interest.													Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.							For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated. *Column XII is for assets held by your spouse or dependent child in which you have no interest.												Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period. If only a portion of an asset was sold, please indicate as follows: (S (part)). Leave this column blank if there are no transactions that exceeded \$1,000.	
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
SP, DC, JT	Examples:	None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*	S(part)
	Magi Corp. Stock				X											X																			
	Simon & Schuster																				Royalties														
	ABC Hedge Fund	X																			Partnership Income														
	W. P. Carey Credit Union					X																													
	5th grad Book Assets																																		
	Edgar Allan Poe CD's																																		
	77 Morgan checking Accts																																		
	4 Illinois Lynx's \$ on k f																																		
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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Updon

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	EIF	A None	B \$1-\$1,000	C \$1,001-\$15,000	D \$15,001-\$50,000	E \$50,001-\$100,000	F \$100,001-\$250,000	G \$250,001-\$500,000	H \$500,001-\$1,000,000	I \$1,000,001-\$5,000,000	J \$5,000,001-\$25,000,000	K \$25,000,001-\$50,000,000	L Over \$50,000,000	M Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II \$1-\$200	III \$201-\$1,000	IV \$1,001-\$2,500	V \$2,501-\$5,000	VI \$5,001-\$15,000	VII \$15,001-\$50,000	VIII \$50,001-\$100,000	IX \$100,001-\$1,000,000	X \$1,000,001-\$5,000,000	XI Over \$5,000,000	XII Spouse/DC Asset with Income over \$1,000,000*	P, S, S(part), or E
SP	Comcast c/a				X											X																				
SP	Dev Defeat				X											X																				
SP	Exxon Mobil								X							X																				
SP	Forbes Brands															X																				
SP	GE															X																				
SP	IBM															X																				
SP	Monsters															X																				
SP	Pfizer															X																				
SP	Philly-Morris															X																				
SP	Post Holdings															X																				
SP	Raytheon															X																				
SP	Synchrony Finl.															X																				
SP	21st Cent. Fox															X																				
SP	Verizon															X																				
JT	Whirlpool															X																				
SP	Zoetis c/a															X																				

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	<i>These trusts not managed or controlled by me - they are managed by trust managers I know</i>																																			
	<i>Trusts total value =</i>									X						X		X																		
	<i>Composed of:</i>																																			
	<i>Pepsi</i>										X																									
	<i>Exxon-Mobil</i>										X																									
	<i>AT&T</i>										X																									
	<i>Ventura</i>										X																									
	<i>VidaFore</i>																																			
	<i>Kimberly-Clark</i>																																			
	<i>Hydrex Health</i>																																			
	<i>Pfizer</i>																																			
	<i>Nucor Paper</i>																																			
	<i>Acia Equity</i>																																			
	<i>American Express</i>																																			
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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction					
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E			
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*				
	Stachurski's																																						
	Widowpool																																						
	Yan Brands																																						
	Coco Cde																																						
	CBS Health																																						
	Cherwin Corp																																						
	EOG Resources																																						
	Halliburton																																						
	Schlumberger LTD																																						
	Capitol One Fin																																						
	Chio Fin.																																						
	Intercontinental Bank																																						
	Moody's Corp																																						
	Wells Fargo																																						
	Abbot Lab																																						
	Abbvie Inc																																						

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	<i>None</i>																																		
	<i>CPA Bar 1</i>			X																															
	<i>Danaher Corp</i>			X																															
	<i>Q:lad Sciences</i>			X																															
	<i>McLennan Corp</i>			X																															
	<i>Fordyce Corp</i>			X																															
	<i>Perpall Southern</i>			X																															
	<i>Alphabit Cy CLC</i>			X																															
	<i>Alphabit Cy CLA</i>			X																															
	<i>Apple</i>			X																															
	<i>Facebook 1 CLA</i>			X																															
	<i>Oracle Corp</i>			X																															
	<i>2 Part EIT R Alamos</i>			X																															
	<i>Alliant Energy</i>			X																															
	<i>MFC Financial</i>			X																															
	<i>MFB N. Falls Smelter</i>			X																															
	<i>MFC Vanguard Inst Equity Index</i>			X																															

SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	<i>None</i>																																			
	<i>Yum China Holdings</i>																																			
	<i>AFC Yang. REIT</i>																																			
	<i>NYSE Pacer FLS REIT</i>																																			
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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	<i>limited liability partnership and dynasty trust (includes ELLP)</i> <i>limited liability partnership for SP partner's decisions all controlled by me.</i>																																			
	<i>Vehicle</i>																																			
	<i>J.P. Morgan Assets</i>																																			
	<i>Fidelity Investments</i>																																			
	<i>Emerging Mkts</i>																																			
	<i>Wells Fargo</i>																																			
	<i>Hillard Lyons</i>																																			
	<i>Nathan Trust</i>																																			
	<i>Total value =</i>																																			
	<i>Compared to:</i>																																			

FRED UPTON
6TH DISTRICT, MICHIGAN

**COMMITTEE ON
ENERGY AND COMMERCE**

**CHAIRMAN
ENERGY**

HEALTH

**DIGITAL COMMERCE AND
CONSUMER PROTECTION**



Congress of the United States
House of Representatives

☐

☐

☐

DC OFFICE:
2183 RAYBURN HOUSE OFFICE BUILDING
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FAX: (202) 225-4986

MICHIGAN HOME OFFICES:
350 E. MICHIGAN AVENUE
SUITE 130
KALAMAZOO, MI 49007
(269) 385-0039
FAX: (269) 385-2888

720 MAIN STREET
ST. JOSEPH, MI 49085
(269) 982-1986
FAX: (269) 982-0237

<http://upton.house.gov>

You will note a change from my past disclosure of the J.P. Morgan Trust holdings.

In consultation with my attorney and J.P. Morgan, they provided me this statement regarding the assets that the trusts hold: (this does not include the SEU Limited Partnership and Dynasty)

"With regard to the trusts above [4 that they manage], we spoke with Tim [our attorney] and have agreed that 1) Amey [my wife] has no interest in the trusts, and 2) because your current interest in three of the trusts is discretionary (and you have no current interest in the fourth trust), and your remaining interests are contingent upon your surviving your parents, you also have no ownership in the trusts."

I have always indicated that I have not had any control regarding the transactions of these trust accounts. They were established by my grandparents decades ago. I do receive distribution income from them which has always been reported, and as a result am now listing all of the assets exceeding \$1000 rather than in previous years at about \$5000.

I have gone back and listed stock transactions exceeding \$1000 in 2017 and 2018, and filed those on the Periodic Transaction Report today for the J.P. Morgan held trusts of which I hold no ownership.

I will continue to file transactions on the Periodic Transaction Report for stocks held in the remaining trusts.

Member of Congress

Fred Upton

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	<i>J.P. Morgan Managed trust w/o control by me. As noted, I have NO ownership in this trusts.</i>																																			
	<i>Total Value</i>																																			
	<i>comped of:</i>																																			
	<i>whisper</i>																																			
	<i>SPDR S&P 500 ETF</i>																																			
	<i>SPY 6 RES IDXX</i>																																			
	<i>EShares India Fund</i>																																			
	<i>US Dollar</i>																																			
	<i>Dodge & Cox Stock Fund</i>																																			
	<i>AMEX Pictet Int-Z</i>																																			
	<i>SPY US Equity</i>																																			
	<i>Johnson MSCI EMERD</i>																																			

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Lea Rose

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Islands Case 19311 01/1/07																																			
	1931 Int Vol 12 6																																			
	BGT Car 5/1/12 FL-FL																																			
	Artisan Int. Value FL																																			
	Pineco FL																																			
	Parnassus Case Equity																																			
	TPM Int. 7/1/00																																			
	TPM S&P Int. - New Bond																																			
	CPM Long/Short 12/1/07																																			
	TPM Tax Free 10/1/14																																			
	Prime Cap Odyssey 12/1/12																																			
	Neuberg Bx 10/1/12																																			
	Pineco Unconst. Bond																																			
	TPM US 4/1/12																																			
	Tekin Hancock Care																																			
	MS Energy Mkt 2/1/12																																			

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Capitol

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, B(part), or E	
	SPY Mutual fund						X																													
	AGR L/Libor Ret Rd						X																													
	Dubline Ret Rd						X																													
	Neto west T/R																																			
	Brown Adv. T/R																																			
	Exxon Fdc																																			
	Eschens T/R																																			
	Decker T/R																																			
	América Brava																																			
	Delmar Asset																																			
	Nebraska Brava																																			
	Goldman Sachs																																			
	AGR L/Adv. Fdc																																			
	TRC Energy T/R																																			
	Exxon Capital																																			
	Exxon Capital																																			

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Lepton

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Microsoft				X																															S
	Leeds Forge				X																														S	
	Brylcrex				X																														S	
	Pharm				X																														S	
	Medco Int				X																														S	
	Apple				X																														S	
	Alpha Mt - LLC				X																														S	
	Brookline Ltd				X																														S	
	Amercan				X																														S	
	United Health				X																														S	
	Comcast - LLC				X																														S	
	Hempstead				X																														S	
	Morgan Stanley				X																														S	
	MetLife - A				X																														S	
	DowDuPont				X																														S	
	Walt Disney				X																														S	

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Upshaw

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Berkshire Hathaway																																			P
	Massco Corp																																			P
	USA - CCA																																			P
	Fingertall Road																																		P	
	Amelby Drive																																		P	
	Citigroup																																		P	
	Blackrock																																		P	
	Schubert																																		P	
	Hoffman & Fin																																		P	
	O'Reilly Auto																																		P	
	Amesbury Int.																																		P	
	Farman Chem																																		P	
	Concha Res.																																		P	
	North America																																		P	
	Dollar Tree																																		P	
	WEX Inc																																		P	

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Up for

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income													BLOCK E Transaction
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	<i>Cyber</i>																																		

SCHEDULE B - TRANSACTIONS

Name:

Yotam

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period for any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/8/17		X									
	All these done by JIP																	
	Mayan is Just 4/10																	
	control by me																	
	Ukupool		X				1-27-17			X								
	Uells Fargo		X				2-14-17	X										
	Microsoft		X				2-14-17	X										
	British Myras Spools		X				2-14-17	X										
	Time Warner (income 1-15K on 3/12 PIR)		X				2-14-17	X										
	Microsoft (income 1-15K on 5/12 PIR)		X				4/10-17		X									
	Brooklyn Ltd (NICO)	X					4/10-17	X										
	ISOG Resources	X					4/10-17	X										
	Apple		X				4/10-17	X										
	Amazon	X					4/10-17	X										
	Loews (income 1-15K on 5/12 PIR)		X				4/10-17	X										
	Maskeard	X					4/10-17	X										
	Walt Disney	X					6-7-17	X										
	Facebook	X					6-7-17	X										
	Uells Fargo (income 1-15K on 6/12 PIR)	X	X				6-7-17	X	X									

Use additional sheets if more space is required.

Name: Wotter Page 218 of 225

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

SP, DC, JT

[illegible]

SCHEDULE B - TRANSACTIONS

Name:

Lepton

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

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SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/1/17		X									
	Wku/nao/		X				12-14-17	X										
	Wku/nao/		X				11-14-17	X										
	Bush/Myers Spec'd		X				11-14-17	X										
	Microsof		X				11-14-17	X										
	7.14.17 Wku/nao/	X					6-2-17	X										
	Chubs Lt1		X				4-5-17	X										
	Schwab Black & Decker	X					4/12/17	X										
	Chrysler Commuter	X					4-5-17	X										
	Chrysler Blue Pacific	X					4-2-17	X										
	Schub	X					6-2-17	X										
	Dave Chumco/	X					6-2-17	X										
	Hume Dept	X					6-2-17	X										
	DePort	X					6-2-17	X										
	Alseore	X					8-11-17	X										
	Banner Art. Res.	X					8-11-17	X										
	Alphabot CL-A	X					8-11-17	X										
	Antiques Tnt	X					8-11-17	X										
	VISA CL-A	X					6-2-17	X										
	TIX	X					6-2-17	X										

SCHEDULE B - TRANSACTIONS

Name:

Up to

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example	Mega Corp. Stock			X			3/8/17		X									
		Masco Corp	X					10/16/17	X										
		Blackrock Inc	X					10/16/17	X										
		Eastman Chemical	X					10/16/17	X										
		PST Corp	X					10/16/17	X										
		Cummins Resources	X					10/16/17	X										
		United Capital Hld	X					10/16/17	X										
		Texas Inst.	X					8-11-17	X										
		Academy Fin	X					10/16/17	X										
		Bank of NY Mellon	X					10/16/17	X										
		Academy Criminal	X					10/16/17	X										
		Arise	X					10/16/17	X										
		O'Reilly Auto.	X					6/16/17	X										
		Analog Devices	X					10/16/17	X										
		Madrigal	X					10/16/17	X										
		Lextra Energy	X					11/10/17	X										
		Metric Health	X					11/16/17	X										
		Intermark Intl. BxL	X					11/16/17	X										
		General Dynamics	X					11/10/17	X										
		Dalle-Tree	X					11/10/17	X										

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name:

W. J. J.

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K
Example	Mega Corp. Stock			X		X	3/8/17		X									
	Diamondback Energy	X					11-10-17	X										
	QYPS Energy	X					11-10-17	X										
	CE Energy	X					12-11-17	X										
	Delphi Automotive	X					11-10-17	X										
	Amcor Inc.	X					11-10-17	X										
	WEX Inc.	X					11-10-17	X										
	Longview Bank Alliance	X					11-10-17	X										
	Lativ Inc. CL-A	X					11-10-17	X										
	Oceana Oil Pct.		X				11/16/17	X										
	Allagash	X					12-11-17	X										
	Thercoff Road	X					12-11-17	X										
	Rockline At Home CL-B	X					12-11-17	X										
	Unitel Technology	X					12-11-17	X										
	Whisper!		X				10-24-17	X										

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INCOME LIMITS and PROHIBITED INCOME: The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,765. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name:

Updon

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/15	Mortgage on Rental Property, Dover, DE				X							
JT	J.P. Morgan Chase	10/10	Home mortgage				X							
JT	Stephen E. Updon													
	Benjamin Living Trust	2/14	Promissory Note					X						
JT	J.P. Morgan Chase	10/10	Home equity - Paid off in '17	X										

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Owner w/ spouse	Updon Asset Mgt. Corp. (Sole partner of SETS Ltd. Partnership)
Trustee	SETS Limited Partnership (Family investment company)
Trustee	SETS Limited Partnership
Trustee	EVU, LLC (Family investment company)
Tenant w/ spouse	UPS N Dunes, LLC
Board member	SETS MGT Fund

SCHEDULE F – AGREEMENTS

Name:

Upston

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$390 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$390 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
<i>Example:</i> Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$400

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EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]